



## UNIVERSITY OF CALICUT

### Abstract

Directorate of Research- Rules and Regulations for Research leading to Ph.D.- 2016 - implementation-sanctioned- orders issued.

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### Directorate of Research

U.O.No. 1313/2017/Admn

Dated, Calicut University.P.O, 03.02.2017

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*Read:-*1) Gazette Notification No. F.1-2/2009(EC/PS)V(1)V(11) dated 05.07.2016

2) Extract of the minutes of the meeting of the Syndicate held on 01.08.2016 vide item No. 2016.729\*.

3) Extract of the minutes of the meeting of the Standing Committee on Courses and Research held on 03.10.2016.

4) Extract of the minutes of the meeting of the Syndicate held on 03.12.2016.

5) Letter dated 08.12.2016 from The Convener, Syndicate Standing Committee on Courses and Research

6) Minutes of the meeting of the Academic Council held on 13.12.2016 vide item No. I-19.

7) Minutes of the meeting of the Senate held on 17.12.2016 vide item No. IV-9

### ORDER

As per reference read 1<sup>st</sup> above, UGC has issued the Minimum Standards and Procedure for Award of M.Phil./Ph.D. degree Regulation 2016 in the Gazette of India. Consequently, the matter has been brought to the attention of the Vice Chancellor. After considering the matter in detail, the Vice- Chancellor has ordered to place the matter before the Syndicate through the Standing Committee of the Syndicate on Courses and Research to implement UGC (Minimum Standards and Procedure for Award of M.Phil. /Ph.D. degrees) Regulation, 2016 in the University.

Vide reference read as 2<sup>nd</sup> above, the Syndicate at its meeting held on 01.08.2016, vide item No. 2016.729\*, considered the matter and deferred for detailed study by the Standing Committee on Courses and Research. The Standing Committee on Courses and Research vide reference read as 3<sup>rd</sup> above, considered the matter and recommended to implement the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. degrees) Regulation, 2016 in the University in toto. It is also recommended to refer the matter to the Syndicate for constitution of a committee, since it is mandatory to implement the regulation in the University. The Committee will study the problems / issues that may arise at the time of implementation of the regulation.

Vide reference read as 4<sup>th</sup> above, the Syndicate at its meeting held on 03.12.2016 vide item No. 2016.1122\*, considered the recommendation of the Standing Committee on Courses and Research and resolved to constitute a committee consisting of Dr.K Fathimathu Zuhara (Convenor), Dr. P. Sivadasan, Dr. Salahaudheen P.M, Dr.C. Abdul Majeed, Dr. C.C. Babu and Dr.

K.M. Naseer for detailed study. Also resolved to convene a meeting of the committee on 05.12.2016 and to submit the report by 07.12.2016 so as to place the matter before the Academic Council which was scheduled to be held on 13.12.2016. Accordingly, the committee has submitted the draft regulation for research leading to Ph.D. to the Hon. Vice Chancellor vide reference read as 5<sup>th</sup> above.

Vide reference read as 6<sup>th</sup> above, the meeting of the Academic Council held on 13.12.2016, vide item No.I-19, considered the regulation and approved the same with certain modifications. As per the Calicut University Act" 1975 Chapter V Section-39 -Procedure for making regulation under sub section (1) all regulations made under this Act shall have effect from such date as the Academic Council may direct, but every Regulation so made shall be laid before the Senate during it's next succeeding meeting. Under the above circumstances, the Vice Chancellor has ordered to place the Research Regulation 2016, approved by the Academic Council held on 13.12.2016 vide item No. I-19 before the Senate .

The Senate at it's meeting held on 17.12.2016, vide item No. IV-9, considered the implementation of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. degrees) Regulation, 2016 in Calicut University along with Regulation 2016 for Research leading to Ph.D. approved by the Academic Council held on 13.12.2016. Vide reference 7<sup>th</sup> above, the meeting of the Senate also approved the Regulation 2016 for Research leading to Ph.D. approved by the Academic Council held on 13.12.2016.

After considering the matter, the Vice Chancellor has ordered on 25.01.2017 to implement the Regulation 2016 for Research leading to Ph.D approved by the meeting of the Senate held on 17.12.2016 vide item No. IV-9. The date of effect of the implementation of Regulation for Research leading to Ph.D '2016 is on 13.12.2016, the date at which the Academic Council approved the draft Research Regulation 2016 proposed by the Syndicate sub-committee.

Orders are issued accordingly.

Geetha M.P.  
Deputy Registrar

To

All HoDs / Research Centres

Copy to : PS to VC / PA to PVC / PA to Registrar / Director , DOA / PA to FO / PA to CE /  
Steno to DR,DoR( with a direction to upload the U O in the University website ) / SF/FC.

Forwarded / By Order

Section Officer

# UNIVERSITY OF CALICUT

## Regulations for Research leading to Ph.D.

### **Preamble:**

- *Regulations for Award of Ph.D. Degree, University of Calicut, 2016 are framed in supersession of the Calicut University Research Regulation-2012 implemented as per U.O.No. DoR/B3/516/2005 dated 20-08-2012; and in accordance with the UGC(Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree)Regulations 2016 notified in The Gazette of India [No. 278, Part III- Section 4] Extra Ordinary on July 5th 2016 vide No.F.1-2/2009(EC/PS)V(I) Vol.II - in exercise of the powers conferred by clauses (f) and (g) of sub-section(1) of Section 26 of the University Grants Commission Act, 1956 (3 of 1956).*
- *The University of Calicut offers research programmes in different subjects listed in Statutes of the University, and in inter-disciplinary areas leading to the Degree of Doctor of Philosophy. A candidate shall ordinarily work in a recognized place of research including the University Departments, Research Institutes and Affiliated Colleges recognized by the University. The Standards and Procedures for Award of Ph.D. Degree of the University shall be as per this Regulation.*

### **1. Short title, Application and Commencement:**

- 1.1 These Regulations may be called **Calicut University Research Regulation-2016**.
- 1.2 These Regulations shall be made applicable to candidates seeking registration for Ph.D. Degree from 2016 admission onwards.
- 1.3 All cases registered and pending for processing before the date effectiveness of this rules and regulations will be governed by earlier relevant rules and regulations. However, the mandatory requirements laid down by the UGC shall be fulfilled for obtaining the required endorsements in the Degree.
- 1.4 These Regulations shall come into force from the date of its approval by the Academic Council of the University.

### **2. Eligibility criteria for admission to the Ph.D. programme:**

- 2.1 Candidates for admission to the Ph.D. programme shall have a Masters degree or a professional degree recognized as equivalent to the Masters degree of the University of Calicut, with at least grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or at least 55% marks in aggregate in the mark system or an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.

- 2.2 A relaxation of 10% of marks, from 55% to 45%, or an equivalent relaxation of grade, shall be allowed to candidates belonging to SC/ST category. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, shall be allowed to those belonging to OBC (non-creamy layer)/ differently-abled and other categories of candidates as per the decisions of the University/State Government from time to time, or for those who had obtained their Master's degree prior to 19<sup>th</sup> September, 1991.
- 2.3 Eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of marks/grades to the categories as mentioned in Clause 2.2 in these Regulations are permissible based only on the qualifying marks exclusive of the grace mark procedures.
- 2.4 Candidates who have passed the M.Phil. Degree programme with a minimum of grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) with at least 55% marks in aggregate or its equivalent shall be eligible to proceed to do research work leading to the Ph.D. Degree in the same institution. A relaxation of 10% of marks, from 55% to 45%, or an equivalent relaxation of grade, shall be allowed to candidates belonging to SC/ST category. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, shall be allowed to those belonging to OBC (non-creamy layer)/ differently-abled and other categories of candidates, as decided by the State government/University from time to time.
- 2.5 A candidate whose M.Phil. dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. programme of the same institution
- 2.6 Candidates possessing a Degree considered equivalent to M.Phil. degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.
- 2.7 Persons working in National Laboratories- Institutes/Universities/Government Organization nominated/ sponsored by the respective employer; Teachers of the University / Government or Aided Colleges affiliated to the University/ Govt. or Aided Secondary and Higher Secondary School within the geographical jurisdiction of the University and holding a permanent position there shall be eligible for admission to Ph.D. programme, provided they satisfy the conditions of Clause (2.1).

## 2.8. **Full-time / Part-time Research:**

2.8.1. Registration may be granted to all resident scholars on full time basis and to employed persons on part-time basis.

2.8.2. Teachers of the University / Government or Aided Colleges affiliated to the University/ Govt. or Aided Secondary and Higher Secondary School within the geographical jurisdiction of the University/Employees of the University and holding a permanent position there and are eligible for admission to Ph.D. programme satisfying the conditions of Clause (2.1) may be granted part-time registration.

2.8.3. A full-time registration may be converted to part-time, after the completion of one year, or when the candidate declared successfully completed the course work.

2.8.4 After three years in full-time, if the candidate wishes to convert the registration into part-time, the period of registration shall be reckoned with that of full-time registration.

## 3. **Duration of the Programme:**

3.1 Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.

3.2 Extension beyond the above limits, in exceptional circumstances, may be permitted by the Vice-Chancellor for the completion of the research work and submission of thesis

3.3 Women candidates and candidates with disability of more than 40% may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for a period up to 240 days.

## 4. **Procedure for Admission :**

4.1 Candidates for Ph.D. programme shall be granted registration through an entrance test conducted by the University.

4.2 The **following categories of candidates are exempted from the entrance test** for registration to Ph.D. Degree:

4.2.1 Candidates who qualify UGC-NET/JRF, UGC-CSIR-NET/JRF, SLET, GATE and research fellowships of central and state Government departments/ agencies as approved by the University, as the case may be

4.2.2 Full time regular teachers of the University departments/Govt. or Aided Colleges affiliated to the University of Calicut and teachers with FDP/QIP or similar fellowships.

4.2.3 Foreign nationals who are otherwise eligible for admission.

- 4.2.4 Full-time fellows, who have been selected on the basis of a written Test and interview, working in a research project under the investigation of a research guide of the University.
- 4.2.5 M.Phil. Degree (successfully completed from any Statutory Recognized University in the relevant subject) holders.
- 4.2.6 The candidates mentioned in clauses 4.2.1 to 4.2.4 can apply for Ph.D. registration at any time during the academic year depending on the willingness/availability of guides.
- 4.3 The number of Ph.D. scholars, to be admitted to each subject of study at the University Departments/Centers and duly approved research centers, shall be decided based on number of available research supervisors and other academic as well as physical facilities available, in accordance with the norms regarding the scholar-teacher ratio, laboratory, library and such other facilities.
- 4.4 The University shall notify every year, well in advance, in the website and through advertisement (in at least two national newspapers, of which at least one shall be in the regional language) the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) and date(s) of entrance test(s) and all other relevant information for the benefit of candidates.
- 4.5 Admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the State Government/University, applicable to SC/ST/OBC(non-creamy layer)/differently-abled and other categories of candidates, as decided by the State Government/University from time to time.
- 4.6 Candidates eligible for registration to Ph.D. shall be decided by a two stage process – Entrance Test and Interview/Viva-Voce.
- 4.7 Entrance test shall be conducted at the Centre(s) on the dates notified in advance and changes of centers/dates, if any, shall be notified sufficiently earlier. Syllabus of the Entrance test shall consist of questions based on research methodology (50%) and the subject of study concerned (50%).
- 4.8 All the candidates getting a minimum of 50% marks and in the case of SC/ST categories of candidates a minimum of 40% marks and in the case of OBC(non-creamy layer)/differently-abled and other categories of

candidates a minimum of 45% marks, as the case may be, in the entrance test are qualified to apply for registration to Ph.D. Programme.

4.9 Interview/Viva-Voce shall be conducted by a duly constituted 'Department Research Committee' in such a way that the candidates would be required to discuss their research interest/area through a presentation.

4.10 It shall be assessed at the Interview/Viva-Voce whether:

1. The candidate possesses the competence for the proposed research;
2. The research can be suitably undertaken at the research center; and
3. The proposed research can contribute to new/additional knowledge.

4.11 The University shall maintain the list of all Ph.D. registered students on its website on year-wise basis with the details, such as the name of registered candidate, topic of research, name of supervisor/co- supervisor, and date of enrollment/registration.

## **5. Allocation of Research Supervisor:**

5.1 Any regular Professor of a particular subject of the University/approved research centre with at least five research publications in referred journals, approved by the UGC/ University and any regular Associate /Assistant Professor of a particular subject of the University/approved research center with a Ph.D. degree and at least two research publications in referred journals, approved by the UGC/ University, may be recognized as Research Supervisor in that particular subject.

Provided that in areas/disciplines where there is no/only a limited number of referred journals available, the University may relax the above conditions for recognition of a teacher/scientist as Research Supervisor with reasons recorded in writing.

5.2 A full time regular teacher, satisfying all conditions stipulated in Clause 5.1 and working in a department of a college/centre affiliated to the University which is not a recognized research centre in his/her discipline, and working as a Research Supervisor at a nearby research centre of the University in the same discipline, shall be permitted to continue with existing students by appointing a Co-Supervisor at the Research centre.

- 5.3 Scientists in the regular service in research laboratories of Central/State Government, located in the geographical jurisdiction of University, may also be approved as Research Supervisor/Co-supervisor and the Scientist in Grade-D, Scientist Grade-E and Scientist Grade-F&G are equated with Assistant Professor, Associate Professor and Professor respectively, exclusively for the purpose of research supervision, subject to fulfillment of other requirements stipulated in these Regulations.
- 5.4 A Professor as Research Supervisor/Co-supervisor, at any given point of time, shall guide not more than 8(eight) Ph.D. scholars. An Associate Professor as Research Supervisor shall guide up to a maximum of 6(six) Ph.D. scholars and an Assistant Professor as Research Supervisor shall guide up to a maximum of 4(four) Ph.D. scholars.
- 5.5 If a research student under a Research Supervisor submits his/her Ph.D thesis for adjudication, the date of submission of Ph.D. thesis will be considered as date of occurrence of vacancy under that Research Supervisor in order to avoid the delay in granting registration for new research scholar.
- 5.6 The Department, in case of topics which are of inter-disciplinary nature and where the expertise in the Department has to be supplemented from outside, may nominate a Supervisor from the Department itself as the Research Supervisor, and a Co-supervisor from outside the Department/ Faculty/College/or other Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges and duly approved by the University.
- 5.7 Allocation of research supervisor for a selected research scholar shall be decided by the Department Research Committee concerned depending on the number of scholars per research supervisor, available specialization among the research supervisors and research interests of the scholars as indicated by them at the time of interview and willingness of the research supervisor. Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.
- 5.8 In the case of a teacher being transferred among colleges under govt./corporate/same management, affiliated to the University, the recognition of the teacher as research guide at research centre shall be continued for the existing candidate. In such cases, a Co-Supervisor is to be engaged at the research centre.
- 5.9 The research data, in case of relocation of the woman scholar for Ph.D. due to marriage or otherwise, shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any



funding agency. The scholar shall give due credit to the original Research Supervisor and the institution for the part of research already done.

## **6. Course Work:**

- 6.1 The course work for Ph.D. shall be of a minimum of 08 credits and a maximum of 16 credits.
- 6.2 A minimum of four credits shall be assigned to one or more courses on Research Methodology which shall cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, and field work and other areas found relevant to the discipline concerned. Other courses shall be advanced level areas in the subjects concerned for enabling the students to acquire deep knowledge in the preparation for Ph.D. degree.
- 6.3 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirements and shall specify content, instructional and assessment methods duly approved by the concerned Board of Studies of the University.
- 6.4 The Department where the scholar pursues research shall prescribe the course(s), based on the recommendations of the Research Advisory Committee, as stipulated under sub-clause 7.1 below, of the Research Scholar.
- 6.5 Scholars admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial one or two years.
- 6.6 Scholars already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.
- 6.7 Grades in the course work, including research methodology courses, shall be finalized after a combined assessment by the Research Advisory Committee and at least one external examiner, and the final grades shall be communicated to the University/DoR.
- 6.8 The Ph.D. Scholar has to obtain a minimum of 'B' Grade in the UGC 7-point scale in the course work with a minimum of 55% marks in order to be eligible to continue in the Ph.D. programme and submit the thesis/dissertation.

## **7. Research Advisory Committee and its functions:**

- 7.1 There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the University, for each Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:
- 7.1.1 To review the research proposal and finalize the topic of research;
  - 7.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
  - 7.1.3 To periodically review and assist in the progress of the research work of the research scholar.
- 7.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the University/College with a copy to the research scholar.
- 7.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.

## **8. Evaluation and Assessment Methods, minimum standards/credits for award of the degree:**

- 8.1 The Ph.D. Scholar shall, upon satisfactory completion of course work and obtaining the grade prescribed in sub-clause 6.8 above, be required to undertake the research work and produce a draft thesis within a reasonable time, as stipulated by the University based on these Regulations.
- 8.2 The scholar shall make a pre-submission presentation of the thesis in the Department, before a Board consisting of Research Advisory Committee, an external expert and Dean of the Faculty concerned.
- 8.3 Pre-submission presentation shall be open to all faculty members and research scholars/students, and the feedback and comments obtained from the participants may be suitably incorporated into the draft thesis, as advised by the Board.
- 8.4 Ph.D. scholars shall publish at least one research paper in refereed journal, duly approved by the UGC/University, and make two paper presentations in conferences/seminars, recognized by the Department Council concerned, before the submission of the thesis for adjudication and produce evidence for the same in the form of presentation certificates and reprints.

- 8.5 The thesis submitted for adjudication shall contain an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism using the software approved by the UGC/University and that the work has not been submitted for the award of any other degree/diploma of the University or to any other Institution. However for subjects where plagiarism check software is not available, manual check may be done.
- 8.6 The thesis for Ph.D., submitted for adjudication, shall be evaluated by the respective Research Supervisor and two External Examiners, who are not in employment of the University, of whom one examiner may be from outside the state/country. However, for the subject of Malayalam the condition that one examiner may be from outside the state/country may not be insisted.
- 8.7 The two External Examiners for evaluation of Ph.D. thesis shall be appointed by the Vice Chancellor from among the panel of ten experts prepared and submitted by the supervising teacher, giving the consideration to the specialization in the topic of study.

## **9. Public Viva Voce:**

- 9.1 Public viva voce, based on the observations given in the evaluation report, shall be conducted by a Board consisting of one of the External Examiners, in the duly approved panel nominated by the Vice Chancellor, as Chairperson, and the Research Supervisor and Head of the Research Centre as members.
- 9.2 Public viva voce shall be open to all faculty members of the Department, research scholars and interested experts/researchers/students.
- 9.3 Public viva voce of the research scholar to defend the thesis shall be conducted only if the recommendations in the evaluation report(s) of the External Examiner(s) on the thesis, submitted in the format specified by the University for the purpose, is/are satisfactory and specific recommendation for conduct of the public viva-voce is made.
- 9.4 The thesis shall be sent to another External Examiner, contained in the approved panel of examiners, in case the evaluation report of one of the External Examiners is unsatisfactory and does not recommend for conduct of the public viva-voce.
- 9.5 Public viva-voce examination, in respect of scholars referred to in Clause 9.4 of these Regulations, shall be held only if the recommendation in the report of the third External Examiner is satisfactory.
- 9.6 The thesis shall be rejected, in case the recommendation in the report of the third External Examiner is unsatisfactory and the research scholar concerned shall be

made ineligible for the award of the degree, based on the thesis already submitted.

- 9.7 The University shall formulate appropriate methods, so as to complete the entire process of evaluation of the thesis for Ph.D. within a period of six months from the date of submission of the thesis/dissertation.

**10. Academic, administrative and infrastructure requirement for offering Ph.D. programmes:**

- 10.1 All teaching/research departments/centers of the University are deemed to be research centers of this University.
- 10.2 Post-graduate Departments of affiliated Colleges and Research Institutes/laboratories of Central/State Government with at least two Ph.D. qualified teachers/ scientists in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10.3, shall be considered eligible to offer Ph.D. programmes, provided the other conditions specified by the University are fulfilled.
- 10.3 Post-graduate Departments of Colleges and Research Institutes/laboratories of Central/ State Government with adequate facilities for research as mentioned below shall be allowed to offer Ph.D. programmes:
- 10.3.1 In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University with provision for adequate space per research scholar along with computer facilities and essential software if relevant to the discipline.
- 10.3.2 Earmarked library resources including latest books, international journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/Library for reading, writing and storing study and research materials;
- 10.3.3 Colleges may access the required facilities of the neighbouring Institutions/Colleges, or of those Institutions/ Colleges/ R&D laboratories/ Organizations which have the required facilities.
- 10.4 Research centers, granted approval by the University prior to the date of effect of this regulation, shall be permitted to remain as the research centre and to retain the research scholars who have applied for their registration prior to date of commencement of these Regulations in force and continue their registration.

**11. Treatment of Ph.D. through Distance Mode / Part time:**

- 11.1 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, the University shall not conduct Ph.D. Programmes through distance education mode.
- 11.2 Part-time research for Ph.D. shall be allowed provided all the conditions mentioned in the extent Ph.D. Regulations are met. A minimum attendance of 3 days per month at the research centre is mandatory for part-time research scholars.

**12. Award of Ph.D. degrees prior to Notification of these Regulations:**

- 12.1 Award of degrees to candidates registered /waiting for registration for research leading to Ph.D. on or after July 11, 2009 till the date of effect of this regulation shall be governed by the provisions of the Calicut University Research Regulation-2012 and subsequent Regulations and guidelines thereof adopted by the University.
- 12.2 Recognition of Ph.D. degree awarded by the Foreign University shall be decided based on the recommendations of the Standing Committee of the Academic Council.

**13. Depository with INFLIBNET**

- 13.1 The University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, following the successful completion of the evaluation process and before the announcement of the award of Ph.D. degree, for hosting the same accessible to all.
- 13.2 The University shall issue a Provisional Certificate to each scholar, prior to the actual award of the Degree, to the effect that *the Degree has been awarded in accordance with the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil./Ph.D. Degree) Regulations 2016.*

**14. Rules and procedures:**

The rules and procedures for admission / registration / conduct of coursework / pre-submission presentation/ evaluation of thesis/public viva-voce within the frame work of these regulations shall be framed by the University, giving due consideration to these Regulations.

15. **Repeal and Saving:**

- 15.1 All directives and orders issued, before the commencement of these regulations, by the University under the then existing regulations and guidelines shall continue to apply for the period for which such orders/directions were issued. All guidelines issued shall continue to have effect until such rules are rescinded or modified, as the case may be, and save, as otherwise provided in these regulations are hereby repealed.
- 15.2 In case of disputes, the Vice Chancellor, as the academic head of the University, shall examine the cases individually and take an appropriate decision.

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UNIVERSITY OF CALICUT

Abstract

DoR-Amendment in Clause 2.8.2 of Calicut University Research Regulations 2016 so as to enable Permanent Faculties working in affiliated colleges within the jurisdiction of Universities in Kerala -item No.11.17 of the meeting of the Senate held on 21.08.2018 -implemented-orders issued.

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Directorate of Research

U.O.No. 14174/2018/Admn

Dated, Calicut University.P.O, 04.12.2018

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- Read:-* 1) Letter from Sri. Vijayakumar V, Assistant Professor , Department of Commerce, Nehru Arts and Science College, Kanhangad, Kasaragod.  
2) Letter from Director, Kerala School of Mathematics, Kozhikode.  
3) Extract of the item No.2018.400\* ( Emended vide item No.2018.558 on 20.06.2018) of the minutes of the Syndicate held on 26.04.2018.  
4) Extract of the item No.I.14 of the minutes of the meeting of the LXXVIII meeting of the Academic Council held on 18.07.2018.  
5) item.no.11.17 of the meeting of the Senate held on 21-08-2018.  
6) Orders of the Vice-Chancellor in the file of even number dated 26-11-2018.

ORDER

As per reference cited 1<sup>st</sup> above, Sri. Vijayakumar V., presently working as Assistant Professor in Department of Commerce, Nehru Arts and Science College, Kanhangad, Kasaragod which is affiliated to Kannur University has requested to allow him to register for Part-time Ph.D. programme at University of Calicut.

As per Clause 2.8.2, Calicut University Research Regulation 2016, " Teachers of the University / Government or Aided Colleges affiliated to the University / Govt. or Aided Secondary and Higher Secondary School **within the geographical jurisdiction of the University** / Employees of the University and holding a permanent position there, are eligible for admission to Ph.D. programme satisfying the conditions of Clause 2.1 may be granted part-time registration " .

Sri. Vijayakumar V. has also submitted a letter from UGC giving clarification in respect of permanent Faculties doing research on part-time mode in neighbouring Universities. As per the clarification obtained from UGC, a teacher can join neighbouring University, provided the supervisor is a regular faculty member of the University. They have also informed that UGC ( Minimum Standards and Procedure for award of M.Phil / Ph.D ) Regulations 2016 do not have any clause restricting the teachers from joining neighbouring universities for Ph. D. programme.

Meanwhile, the Director, Kerala School of Mathematics, has also requested to register Faculties working in other Universities for Ph.D. programme in the University of Calicut.

As per Clause 11.2 of UGC (Minimum Standards and Procedure for award of M.Phil / Ph.D Degrees) Regulations 2016 states that "Part-time Ph.D will be allowed provided all the conditions mentioned in the extant Ph.D Regulations are met " .

Hence, the request from Sri. Vijayakumar V. and the Director, Kerala School of Mathematics may be considered after amending the Clause 2.8.2 of Calicut University Research Regulations 2016.

After considering the matter, the Hon'ble Vice-Chancellor had ordered to place the matter before the Syndicate. The Syndicate at its meeting held on 26.04.2018 considered the matter, vide item No.2018.400\* (Emended vide item No.2018.558 on 20.06.2018) and resolved to approve the request of permanent Faculties working outside the jurisdiction of the University after making modifications in Clause 2.8.2 of the Calicut University Research Regulations 2016 as follows: "Clause 2.8.2 - Teachers of the Universities / Government or aided Colleges in the state of Kerala and the teachers of the Government or aided Secondary / Higher Secondary School within the Geographical jurisdiction of the University / employees of the University and holding a permanent position there are eligible for admission to Ph.D. Programme satisfying the conditions of Clause 2.1 of the Calicut University Research Regulation 2016 may be granted Part-time registration." It was also resolved to place the matter before the next Academic Council for consideration.

After considering the matter, the Hon'ble Vice-Chancellor on 29.06.2018, ordered to place the amendment proposal approved by the Syndicate before the Academic Council for consideration.

The Academic Council in its meeting held on 18.07.2018, considered the amendment proposal approved by the Syndicate vide item No.1.14 and resolved to approve the same.

The Vice-Chancellor on 04-08-2018 has ordered to implement the decision of the Academic

Council and Orders were issued accordingly.

The matter was placed before the Senate, for approval. The Senate vide item no.11.17 has approved the Amendment of the Clause 2.8.2 of the Calicut University Research Regulation 2016 proposed by the Syndicate. The amendment is as follows "Clause 2.8.2 - Teachers of the Universities / Government or aided Colleges in the state of Kerala and the teachers of the Government or aided Secondary / Higher Secondary School within the Geographical jurisdiction of the University / employees of the University and holding a permanent position there are eligible for admission to Ph.D. Programme satisfying the conditions of Clause 2.1 of the Calicut University Research Regulation 2016 may be granted Part-time registration."

The Vice-Chancellor has ordered to implement the resolution of the Senate vide item No.11.17 vide reference cited as 6<sup>th</sup> above.

Orders are issued accordingly.

Valsa A.K

Deputy Registrar

To

The Heads of all Research Centres / Director, School of Mathematics, Kozhikode / DoR- B section / Confidential Assistant to DoR ( with a direction to upload in the website ) / PSto VC / PA to Registrar / JDLFA / SF / FC

Forwarded / By Order

Section Officer





## UNIVERSITY OF CALICUT

**Abstract**

DoR- Minutes of the meeting of the Academic Council held on 01.10.2020 for the amendment in the Clause 5.6 and 4.2.1 of the Calicut University Research Regulation 2016 regarding the implementation of interdisciplinary research and the exemption given to the candidates who have qualified UGC-NET, UGC-CSIR-NET, SLET, GATE- Syndicate decision vide item no.11- implemented- orders issued.

**Directorate of Research**

U.O.No. 10608/2020/Admn

Dated, Calicut University.P.O, 12.11.2020

- Read:-* 1) U.O.No.1313/2017/Admn dated 03.02.2017.  
 2) U.O.No.16824/2019/Admn dated 30.11.2019.  
 3) Extract of the minutes of the meeting of the Sub Committee of the Syndicate held on 07.02.2020.  
 4) U.O.No. 7716/2020/Admn dated 24.08.2020.  
 5) Resolution of the meeting of the Academic Council held on 01.10.2020 - item No.11.  
 6) Orders of the Vice-Chancellor in the file of even number dated 31-10-2020.

ORDER

As per the reference cited 1<sup>st</sup>, the Regulation, 2016 for research leading to Ph.D was implemented in the University w.e.f. 13/12/2016.

As per the reference cited 2<sup>nd</sup>, a sub-committee consisting of Dr. Manoharan. M. (Convenor), Dr. Vinodkumar K. P., Dr. Rasheed Ahamed P. and Director, Directorate of Research as members was constituted for detailed study of Calicut University Research Regulations, 2016 after considering the proposal for amendment in Clause 5.6 and 4.2.1 regarding the implementation of interdisciplinary research and withdrawal of exemptions (to join for Ph.D at any time of the year) given to the candidates who have qualified UGC NET, CSIR NET, SLET & GATE.

As per the referene cited 3<sup>rd</sup>, the Syndicate Sub-committee meeting held on 07.02.2020, decided to amend the Clause 5.6 and 4.2 of Calicut University Research Regulation, 2016 as follows :

Present Clause 5.6	Modified Clause 5.6
The department, in case of topics which are of inter-disciplinary nature and where the expertise in the department has to be supplemented from outside, may nominate a Supervisor from the Department itself as the Research Supervisor, and a Co-supervisor from outside the Department/Faculty/College/or other institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges and duly approved by the University.	In the case of candidates who are intending inter-disciplinary research and whose Master's Degree is in one discipline under one Faculty and prefer research in a related field in another discipline under the same faculty or different faculty may conduct research under a Supervisor from the second discipline with a Co-supervisor from the discipline in which the candidate is having the Master's Degree. Ph.D degree be awarded to the candidate in the Faculty in which he/she has the Master's Degree.
4.2 The following categories of candidates are exempted from the entrance test for registration to Ph.D Degree.	4.2 The following categories of candidates are exempted from the entrance test for registration to Ph.D degree
4.2.1 Candidates who qualify UGC-NET/JRF, UGC-CSIR-NET/JRF, SLET, GATE and research fellowships of central and state Government departments/ agencies as approved by the University, as the case may be.	4.2.1 Candidates who qualify UGC-JRF, UGC-CSIR-JRF research fellowships of central and state Government departments / agencies as approved by the University, as the case may be
	4.2.2 Full time regular teachers of the University departments/ Govt. or Aided Colleges affiliated to the University of Calicut and teachers with

4.2.2 Full time regular teachers of the University departments / Govt. or Aided Colleges affiliated to the University of Calicut and teachers with FDP/QIP or similar fellowships.	FDP/QIP or similar fellowships.
4.2.3 Foreign nationals who are otherwise eligible for admission.	4.2.3 Foreign nationals who are otherwise eligible for admission.
4.2.4 Full-time fellows who have been selected on the basis of a written test and interview, Working in a research project under the investigation of a research guide of the University.	4.2.4 Full time fellows, who have been selected on the basis of a written test and interview, working in a research project under the investigation of a research guide of the University.
4.2.5. M.Phil Degree (successfully completed from the any Statutory/ Recognized University in the relevant subjects) holders.	4.2.5. M.Phil. Degree (successfully completed from any Statutory Recognized University in the relevant subjects) holders.
4.2.6 The candidates mentioned in clause 4.2.1 to 4.2.4 can apply for PhD registration at anytime during the academic year depending on the willingness/availability of guides.	4.2.6 Candidates who qualify UGC-NET, UGC-CSIR-NET, SLET, GATE
	4.2.7 The candidates mentioned in clause 4.2.1 to 4.2.4 can apply for Ph.D registration at anytime during the academic year depending on the willingness/availability of guides.
	4.2.8 The candidates mentioned in clause 4.2.5 to 4.2.6 can apply for Ph.D registration only at the time of Ph.D notification and should be shortlisted to be eligible for Ph.D registration depending on the willingness/ availability of the Guides.

After considering the matter in detail, the Vice Chancellor on 16.03.2020 ordered to place the matter before the Syndicate for consideration. The Syndicate at its meeting held on 14.07.2020, vide item No. 2020.436\*, considered the above amendments to clause 5.6 & 4.2 of the Calicut University Research Regulation, 2016 and approved the same.

As per the reference cited 4<sup>th</sup>, the above resolution of the Syndicate was implemented in the University.

As it is a matter of amendment to the Calicut University Research Regulations - 2016, the Vice-Chancellor ordered to place the matter before the Academic Council for approval.

As per the reference cited 5<sup>th</sup>, the Academic Council in its meeting held on 01.10.2020 approved the syndicate decision to amend Clause 5.6 and Clause 4.2 of the Calicut University Research Regulations -2016, vide item No.11.

As per the reference cited 6<sup>th</sup>, sanction has been accorded by the Vice- Chancellor to implement the above resolution of the Academic Council and thus the resolution is implemented.

The amendments will come into effect from 01/10/2020, the date on which the Academic Council approved the amendments.

Orders are issued accordingly.

Abdul Azeeze P.K

Deputy Registrar

To

Copy to : PS to VC / PA to Registrar / DoR - B Section / SF.

Forwarded / By Order

Section Officer